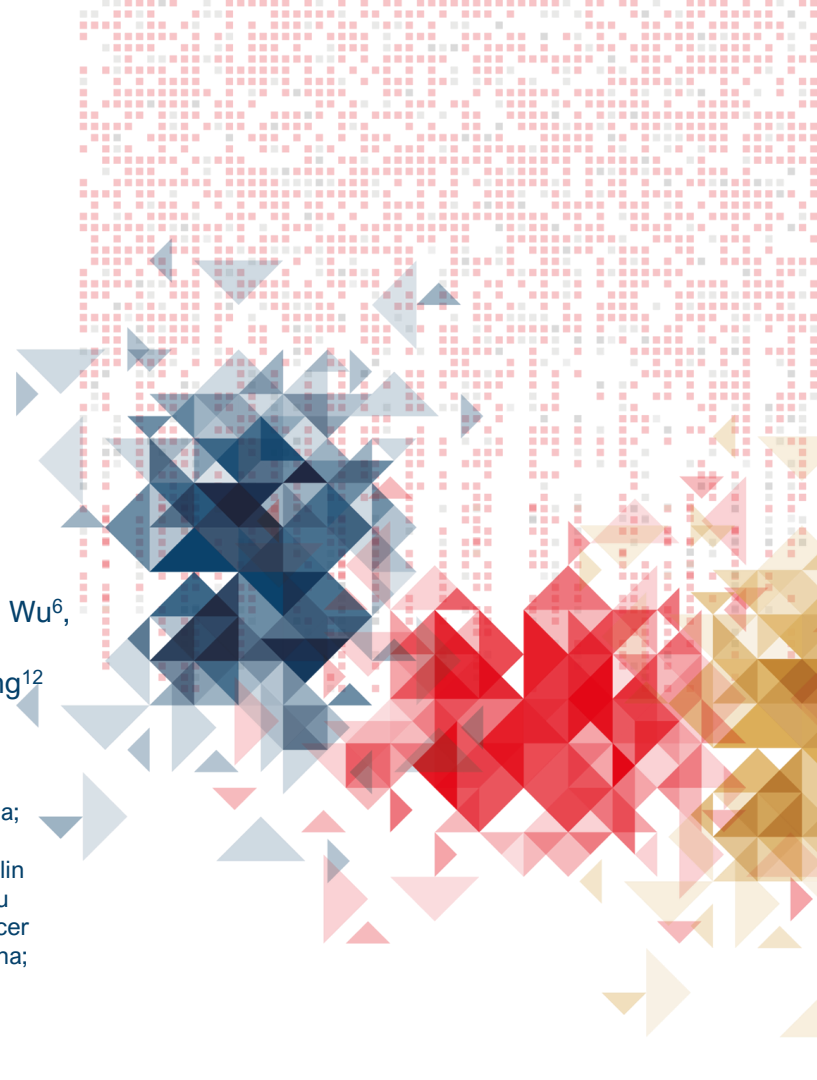


GEMSTONE-301: A Randomized, Double-blind, Placebo-controlled, Phase 3 Study of Sugemalimab in Patients With Unresectable Stage III Non-Small Cell Lung Cancer Without Progression After Concurrent or Sequential Chemoradiotherapy

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DECLARATION OF INTERESTS

Y.-L.W. reports advisory services for AstraZeneca, Boehringer Ingelheim, Novartis, Takeda; personal fees from AstraZeneca, Beigene, Boehringer Ingelheim, BMS, Eli Lilly, MSD, Pfizer, Roche, Sanofi; grants from AstraZeneca, Boehringer Ingelheim, BMS, Hengrui, and Roche, outside the submitted work.

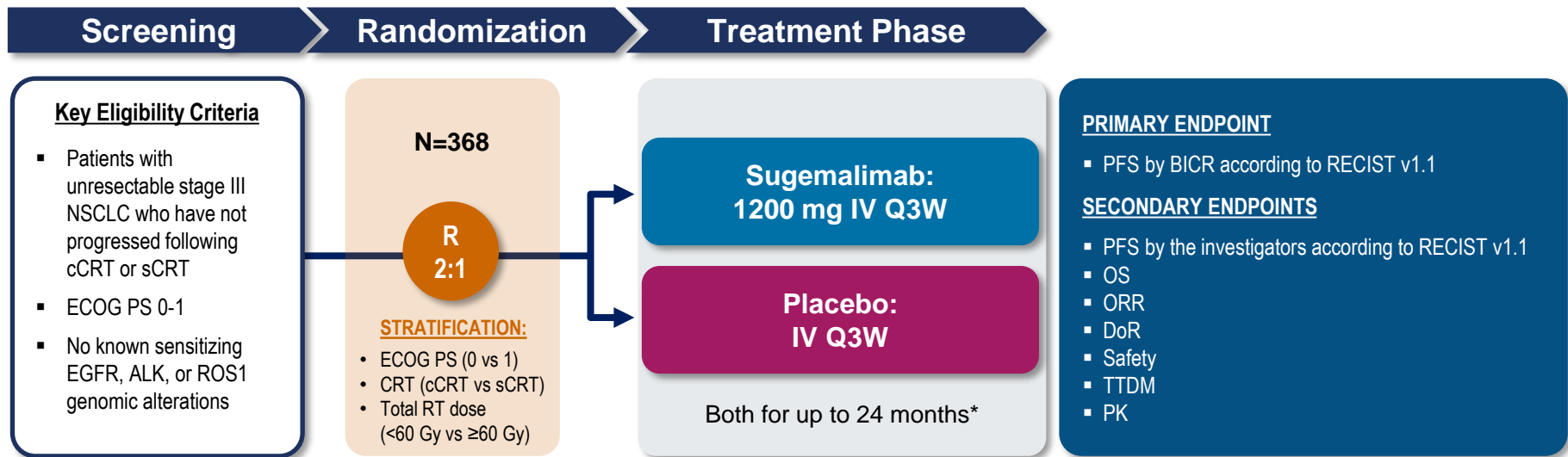
Q.Z. reports honoraria from AstraZeneca, Boehringer Ingelheim, BMS, Eli Lilly, MSD, Pfizer, Roche, and Sanofi, outside the submitted work.

All other authors declare no competing interests.

Introduction

- Patients with stage III NSCLC represent a heterogeneous population. For those with unresectable disease, concurrent chemoradiotherapy (cCRT) followed by an immune checkpoint inhibitor is the standard of care^{1,2}
- However, cCRT is associated with significant toxicity and treatment-related mortality^{3,4}
 - Patient comorbidities and lack of access to cCRT in certain areas often limit its use in the real-world setting
 - Observational data indicate a 30-55% utilization rate for cCRT globally⁵⁻⁸
- Sequential CRT (sCRT) is a widely used alternative in a large subset of patients who cannot tolerate or access cCRT; thus, there remains a high unmet need to improve outcomes for patients without disease progression following sCRT
- Sugemalimab is a full-length, fully human immunoglobulin G4 (s228p) monoclonal antibody that targets PD-L1
 - Sugemalimab plus chemotherapy demonstrated a statistically significant and clinically meaningful improvement in progression-free survival (PFS) compared with chemotherapy in patients with metastatic NSCLC (GEMSTONE-302 study)
- GEMSTONE-301 (NCT03728556) is a randomized, phase 3 trial comparing sugemalimab with placebo as a consolidation treatment in patients with unresectable stage III NSCLC without progression after cCRT or sCRT
 - This is the first phase 3 trial evaluating an anti-PD-1/PD-L1 agent in both populations in this setting

GEMSTONE-301 Study Design



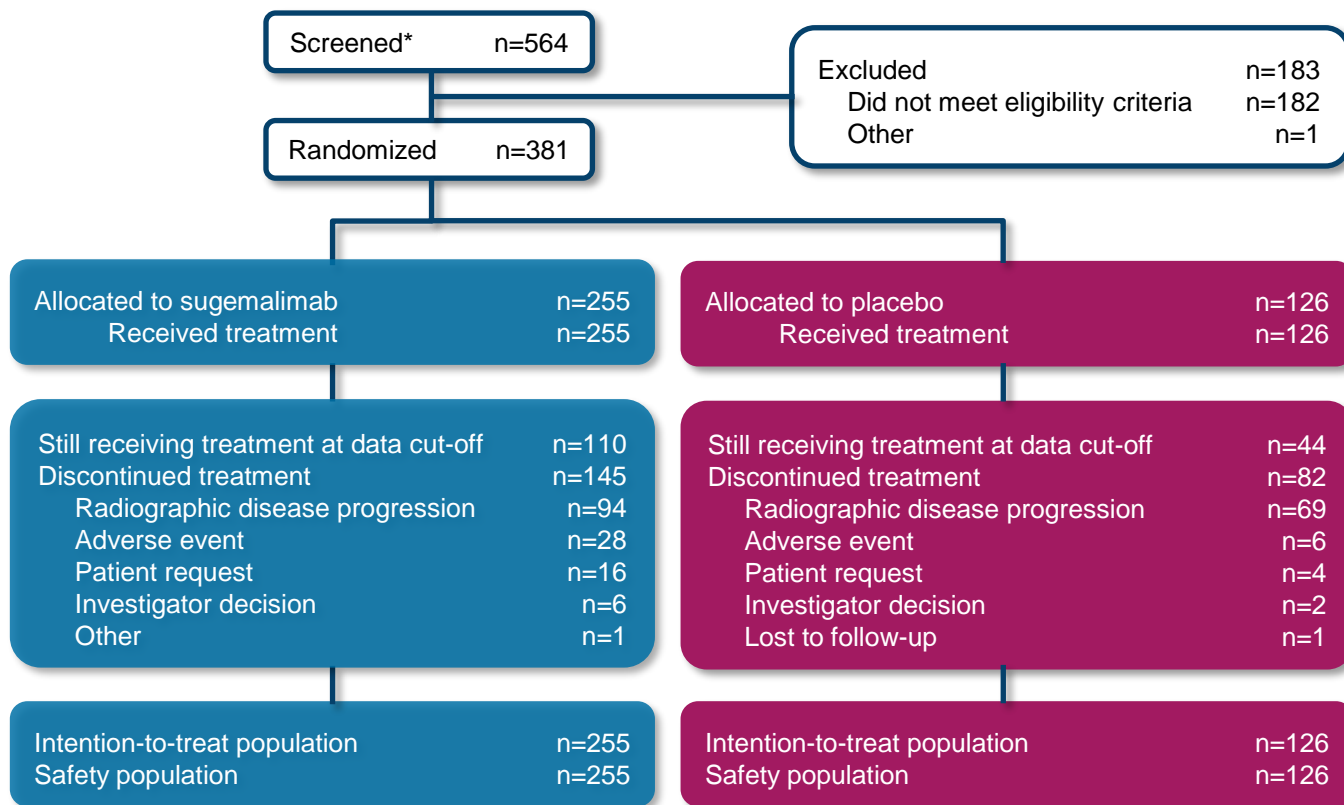
Statistical Considerations

- PFS is tested first at a two-sided alpha of 0.05; if PFS is significant, then OS would be tested at a two-sided alpha of 0.05
- Interim and final PFS analysis were planned when approximately 194 and 262 PFS events occurred, respectively. O'Brien-Fleming method was used to control the type I error
- Interim and final OS analysis were planned when approximately 175 and 260 OS events occurred, respectively.

GEMSTONE-301 VS PACIFIC

	GEMSTONE-301	PACIFIC ¹
Patient area	China	Non-China
Prior CRT	cCRT or sCRT	cCRT only
Treatment period	24 months*	12 months
EGFR/ALK/ROS1	Exclude EGFR/ALK/ROS1+	Not exclude EGFR/ALK/ROS1+
Disease Stage	IIIA: 29%	IIIA: 53%
Histology	SCC:69%	SCC:46%

Patient Disposition

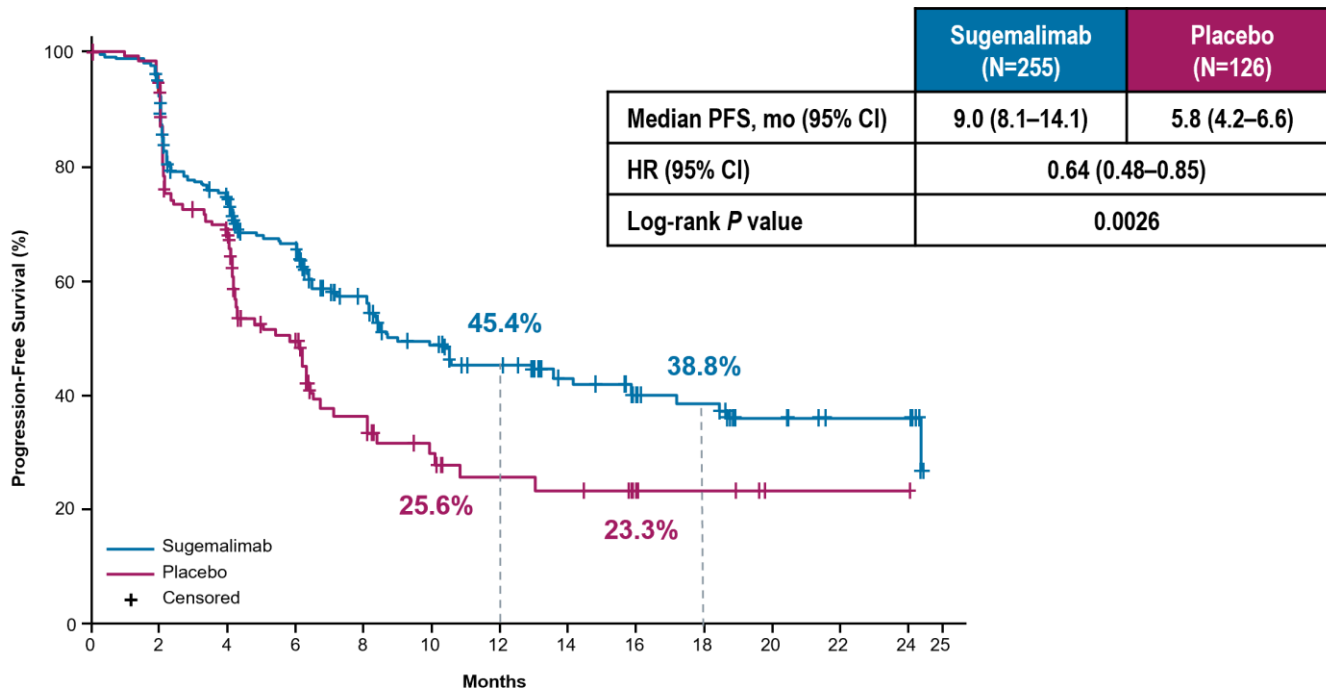


Baseline Characteristics

	Sugemalimab (N=255)	Placebo (N=126)
Sex		
Male	93%	91%
Female	7%	9%
Age, years – median (range)	61 (46–78)	60 (42–73)
Age ≥65 years	29%	25%
Smoking history		
Never	16%	13%
Former or current	84%	87%
ECOG performance status		
0	31%	30%
1	69%	70%
Radiotherapy dose		
<60 Gy	17%	16%
≥60 Gy	83%	84%

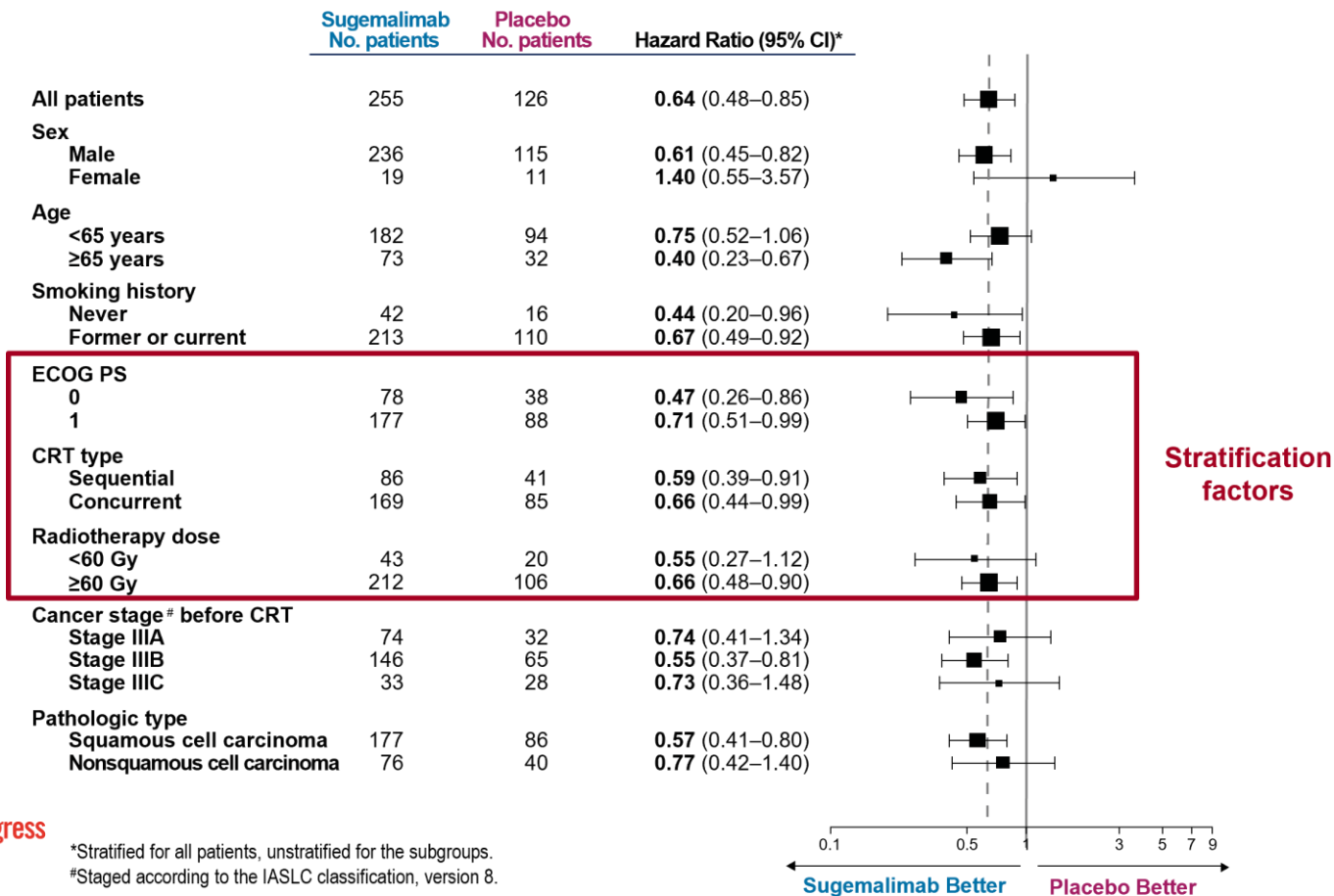
	Sugemalimab (N=255)	Placebo (N=126)
Pathologic type*		
Squamous cell carcinoma	69%	68%
Nonsquamous cell carcinoma	30%	32%
CRT type		
Sequential	34%	33%
Concurrent	66%	67%
Disease stage#		
IIIA	29%	25%
IIIB	57%	52%
IIIC	13%	22%
Best response to CRT		
Complete response	2%	2%
Partial response	67%	61%
Stable disease	31%	37%

PFS by BICR



Patients at Risk		0	2	4	6	8	10	12	14	16	18	20	22	24	25
Sugemalimab	255	225	162	130	96	76	63	48	35	30	20	11	11	0	
Placebo	126	115	77	46	25	16	11	10	6	4	1	1	1	0	

Subgroup Analyses of PFS

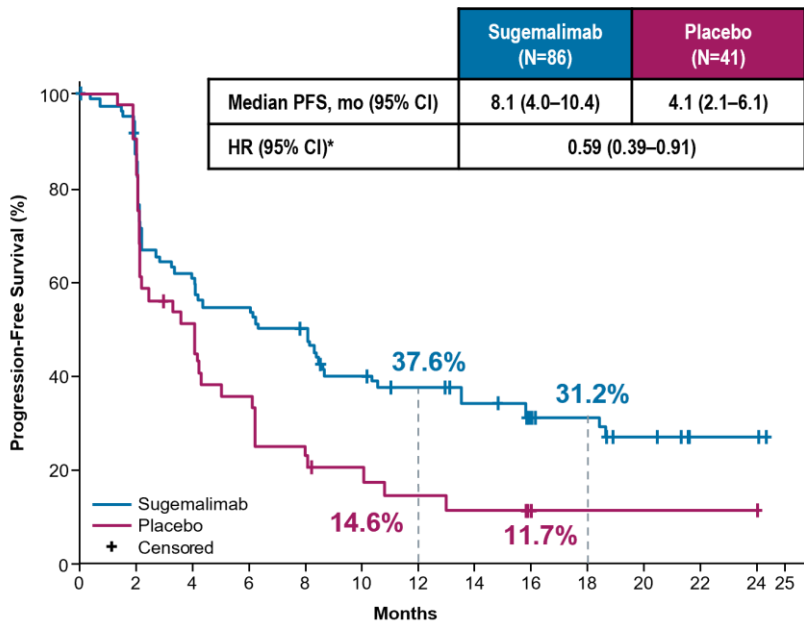


*Stratified for all patients, unstratified for the subgroups.

#Staged according to the IASLC classification, version 8.

PFS by CRT Type

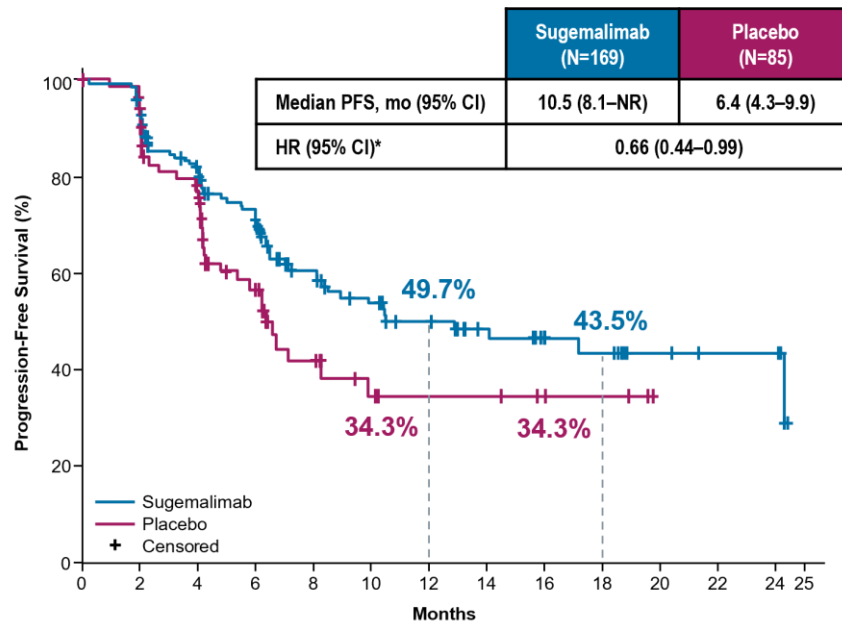
Sequential CRT



Patients at Risk

Time (Months)	0	2	4	6	8	10	12	14	16	18	20	22	24	25
Sugemalimab	86	73	51	46	41	32	28	22	17	15	11	6	6	0
Placebo	41	37	20	14	10	7	5	4	2	1	1	1	1	0

Concurrent CRT



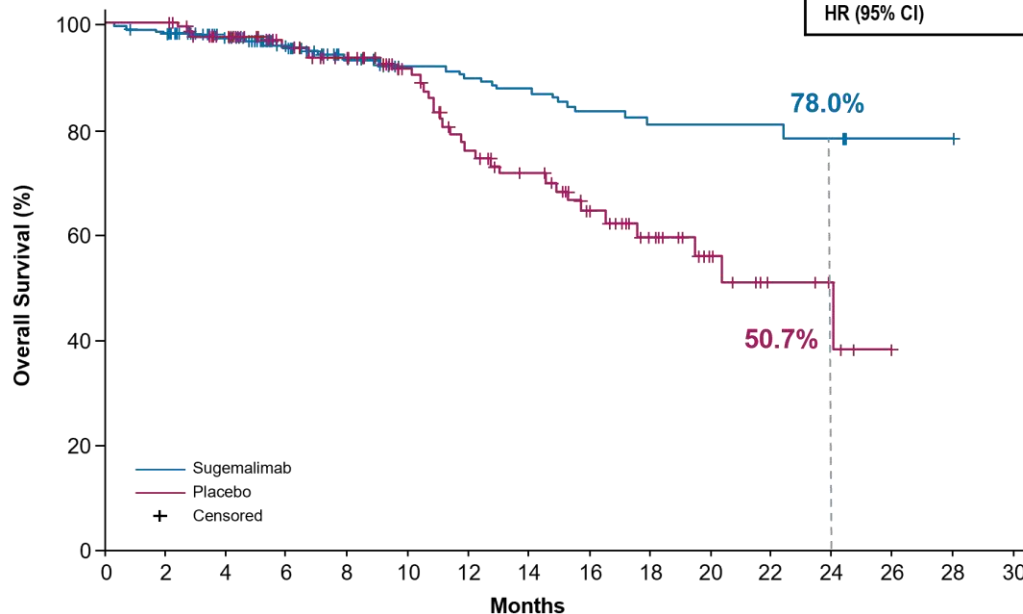
Patients at Risk

Time (Months)	0	2	4	6	8	10	12	14	16	18	20	22	24	25
Sugemalimab	169	152	111	84	55	44	35	26	18	15	9	5	5	0
Placebo	85	78	57	32	15	9	6	6	4	3	0	0	0	0

Preliminary Analysis of OS*

	Sugemalimab (N=255)	Placebo (N=126)
No. events (%)	32 (12.5)	32 (25.4)
Median OS, mo (95% CI)	NR	24.1 (16.5–NR)
HR (95% CI)	0.44 (0.27–0.73)	

NR, not reached.



Patients at Risk	0	2	4	6	8	10	12	14	16	18	20	22	24	26	28	30
Sugemalimab	255	249	221	189	165	149	136	114	84	62	45	31	17	4	1	0
Placebo	126	126	112	95	85	69	53	44	31	21	12	6	4	0	0	0



*OS data were immature at the data cutoff date.

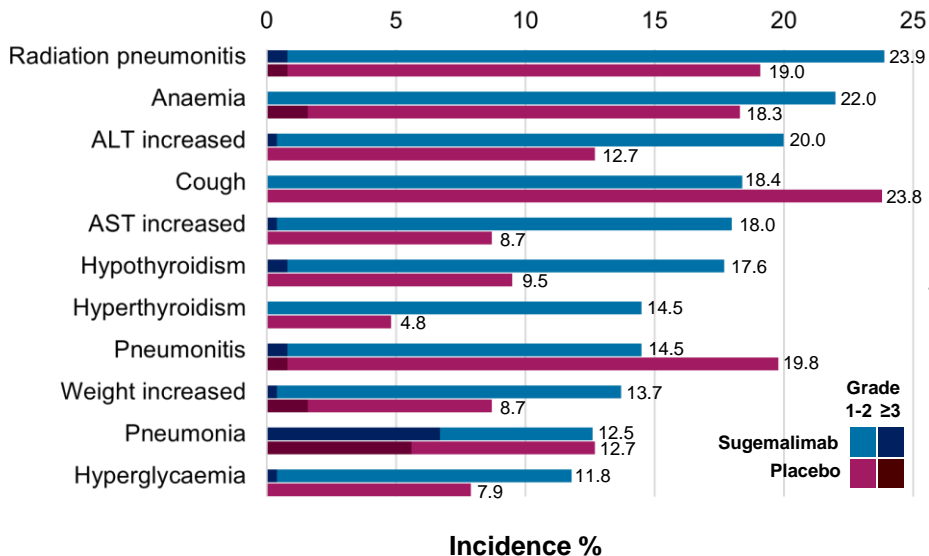
Data cutoff date: March 8, 2021

Treatment Emergent Adverse Events

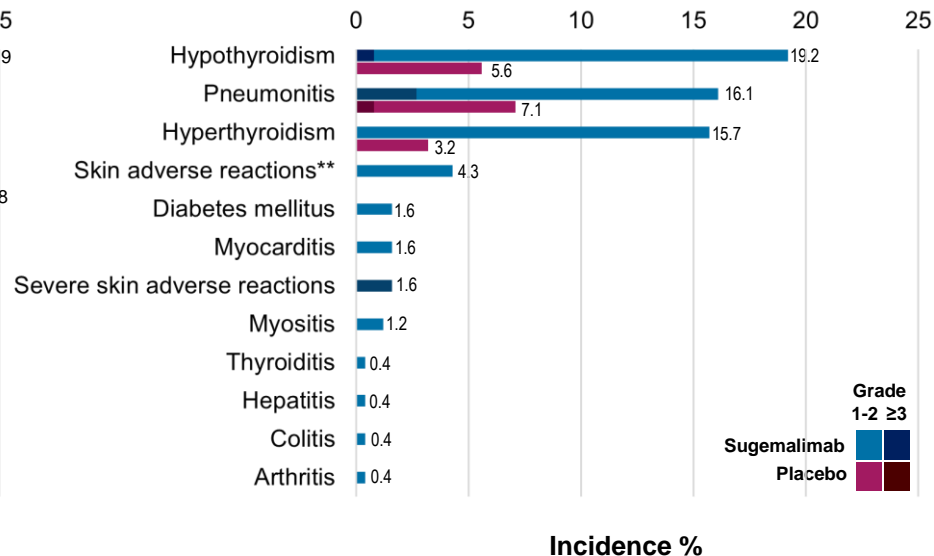
	Sugemalimab (N=255)	Placebo (N=126)
Treatment Emergent Adverse Events (TEAE)	246 (96.5%)	116 (92.1%)
Grade 3-5 TEAE	62 (24.3%)	30 (23.8%)
Treatment-related TEAE (TRAE)	193 (75.7%)	73 (57.9%)
Grade 3-5 TRAE	26 (10.2%)	7 (5.6%)
Immune-related adverse events (irAE)	109 (42.7%)	17 (13.5%)
Grade 3-5 irAE	12 (4.7%)	1 (0.8%)
Infusion-related reaction	1 (0.4%)	2 (1.6%)
TEAE leading to drug permanently discontinued	29 (11.4%)	6 (4.8%)
TEAE leading to treatment cycle delay	82 (32.2%)	31 (24.6%)
TEAE leading to death	10 (3.9%)	3 (2.4%)

TEAEs and irAEs

TEAEs occurred in ≥10% Patients



irAE



Summary/Conclusions

- At this pre-planned interim analysis, a statistically significant and clinically meaningful improvement in PFS was observed with sugemalimab vs. placebo among patients with unresectable stage III NSCLC who had not progressed following cCRT or sCRT
 - BICR assessed mPFS: 9.0 vs 5.8 months, stratified HR= 0.64
 - sCRT subgroup mPFS: 8.1 vs 4.1 months, unstratified HR=0.59
 - cCRT subgroup mPFS: 10.5 vs 6.4 months, unstratified HR=0.66
- OS data were immature, but an encouraging trend for a survival benefit with sugemalimab vs. placebo was observed. Follow-up of the patients is ongoing
 - mOS: NR vs 24.1 months, stratified HR=0.44
- Sugemalimab had a well-tolerated safety profile and no new safety signals were observed, consistent with the safety profile previously reported for sugemalimab monotherapy in NSCLC
- The results of the GEMSTONE-301 study suggest that sugemalimab is an effective consolidation therapy for patients with unresectable stage III NSCLC who have not progressed following cCRT or sCRT

Acknowledgments

- We thank the patients who participated in this study and their families
- The GEMSTONE-301 study investigators and all personnel at each study site who cared for the patients and coordinated with the sponsor to make this trial possible
- Members of the independent data monitoring committee
- Medical writing and editorial assistance, which were in accordance with Good Publication Practice (GPP3) guidelines, were provided by Ward A. Pedersen, PhD, of Parexel, funded by CStone Pharmaceuticals, and Muge Qile of CStone Pharmaceuticals

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