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## BACKGROUND

The programmed cell death protein 1 (PD-1) is an immune-checkpoint that negatively regulates the immune system to avoid collateral damage to self-tissues. Tumors hijack this mechanism as a way to avoid immune detection and destruction. The FDA has approved three anti-PD-1 antibodies for the treatment in more than ten cancer types. CS1003 is a novel anti-PD-1 mAb developed to disrupt the PD-1 interaction with PD-L1/PD-L2 to restore or improve T-cell function as stand-alone therapy or in combination with other anticancer reagents.

## METHODS

A panel of rat PD-1 mAbs were generated through conventional hybridoma technology. Several mAbs with favorable characteristics were further humanized. CS1003, a humanized, hinge-stabilized IgG4 mAb, was selected as our lead candidate for further characterization including antigen binding, biophysical properties as well as functional characterization.

## RESULTS

CS1003 binds mouse, cynomolgus monkey and human PD-1 with similar affinity. CS1003 bound PD-1-expressing cell lines and chronically-activated T cells, blocked PD-1 interactions with PD-L1/PD-L2, resulting in inhibition of PD-1 signaling, and enhanced T cell cytokine secretion and proliferation to levels comparable to those observed with reference mAb 1 and mAb 2 molecules. CS1003 showed significantly anti-tumor activity in conventional MC38 as well as in hPD-1 knock-in mice. CS1003 showed no unexpected cross-reactivity in human tissues, with specific staining observed primarily in lymphocytes of lymphoid organs. In a pharmacokinetic (PK) study in cynomolgus monkeys following single intravenous administration at multiple dose levels, PK properties were linear with the proportionally increasing exposures from 2-18 mg/kg. In a repeated-dose toxicity study, CS1003 was well tolerated in cynomolgus monkeys and the major finding was mononuclear infiltration in multiple organs, which was consistent with its pharmacologic activity. CS1003 demonstrated a favorable safety profile with the highest non-severely toxic dose (HNSTD) of 100 mg/kg.

## SUMMARY

- CS1003 is a humanized PD-1 targeted IgG4 (S223P) monoclonal antibody (mAb) developed by the rat platform.
- CS1003 is equipotent against human, cyno and mouse PD-1 and this should greatly facilitate further evaluation its potential for combination therapy in various syngeneic mouse tumor models.
- CS1003 blocks PD-1/PD-L1 and PD-1/PD-L2 interactions, interrupts PD-1 signaling and enhances antigen-induced IFN-γ release with potency comparable to reference mAb 1 and mAb 2.
- A Phase 1 study [NCT03475251] evaluating the safety, tolerability and PK of CS1003 in patients with advanced solid tumors is ongoing.

## RESULTS

### CS1003 IS A HIGH AFFINITY BLOCKING ANTIBODY THAT RECOGNIZES HUMAN, CYNO AND MOUSE PD-1

#### SPR ANALYSIS

Ligand	Human PD-1		
	ka (1/Ms)	kd (1/s)	K <sub>D</sub> (M)
CS1003	5.97E+05	3.66E-03	6.13E-09
mAb 1	8.79E+05	2.28E-03	2.59E-09
mAb 2	4.02E+05	1.35E-03	3.37E-09

Ligand	Mouse PD-1		
	ka (1/Ms)	kd (1/s)	K <sub>D</sub> (M)
CS1003	3.23E+05	1.29E-03	3.99E-09

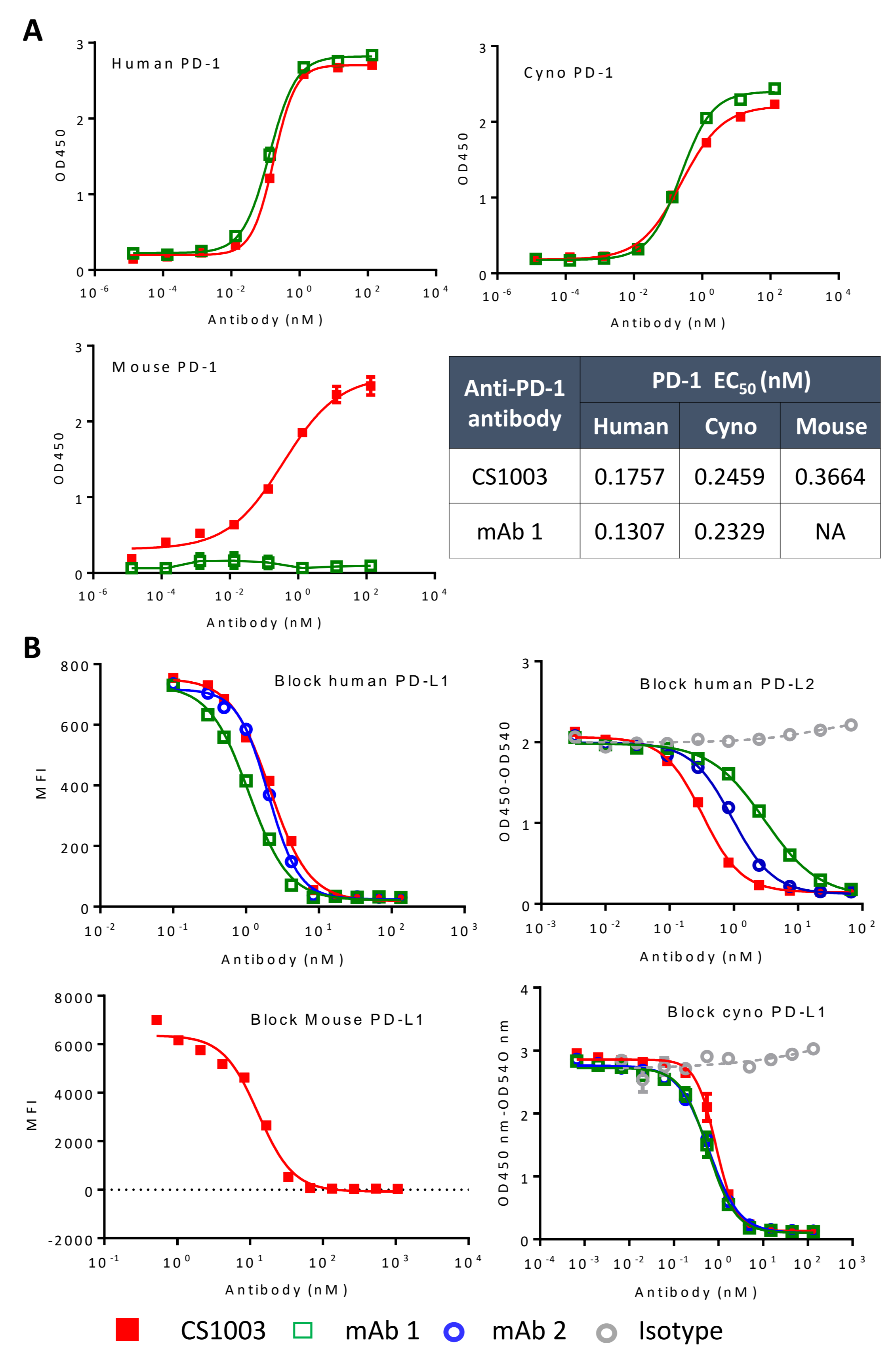


Figure 1: Binding of CS1003, reference mAb 1 and mAb 2 to plate coated recombinant human, cyno and mouse PD-1 proteins were assessed by ELISA (A). Functionality of CS1003 in blocking human PD-1/PD-L1(L2), cyno or mouse PD-1/PD-L1 interactions were assessed by flowcytometry or ELISA respectively.

### CS1003 ENHANCES T CELL ACTIVATION AND PROLIFERATION

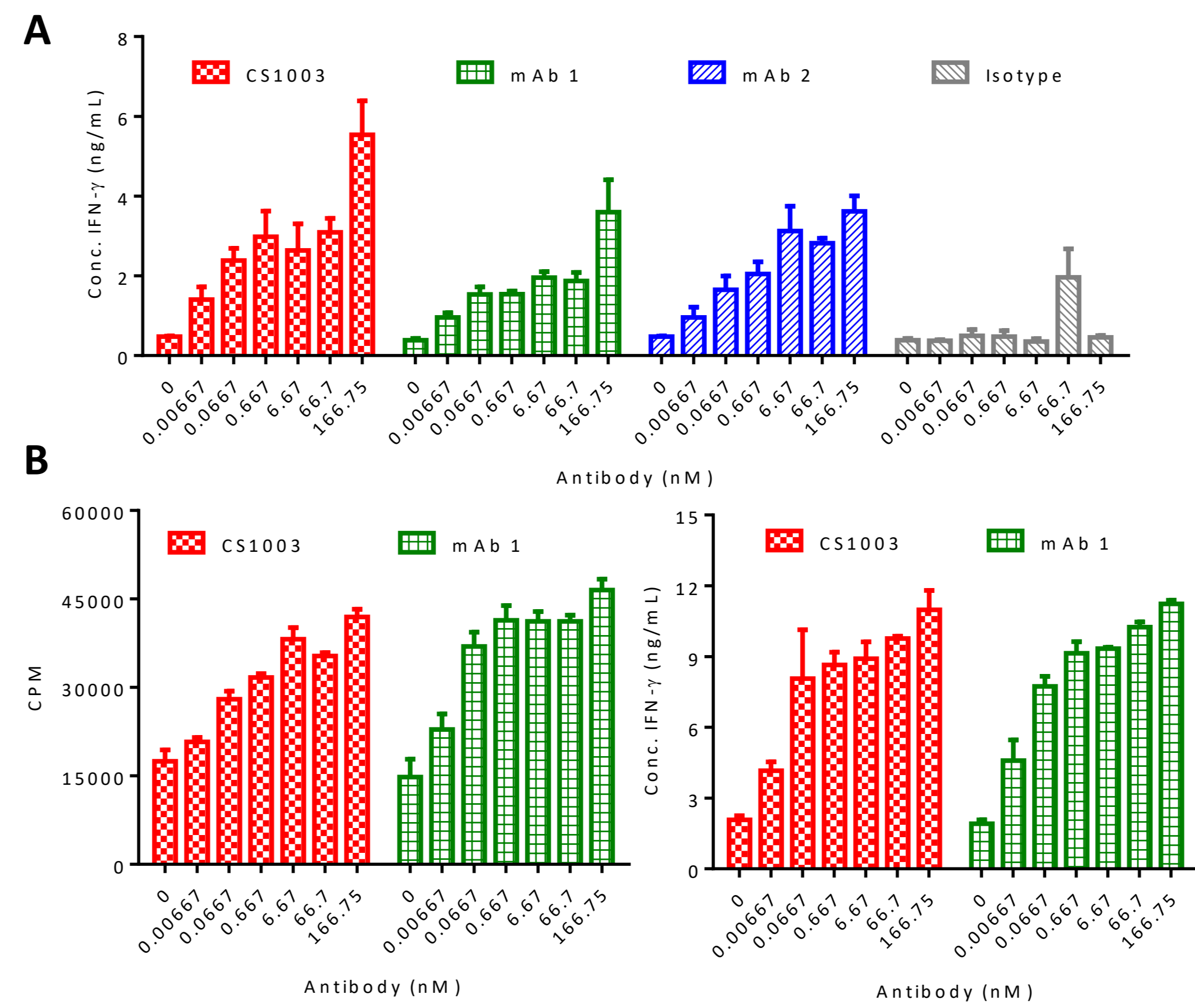


Figure 2: Functionality of CS1003 in enhancing T cell responses were assessed using (A) allogeneic Mixed Lymphocyte Reaction (MLR) and (B) CMV pp65-specific T cell recall responses. T cell proliferation (3H-TDR incorporation) and effector function (IFN-γ production) were quantified.

### SELECTIVITY OF CS1003 AGAINST CD28, CTLA-4, ICOS AND BTLA

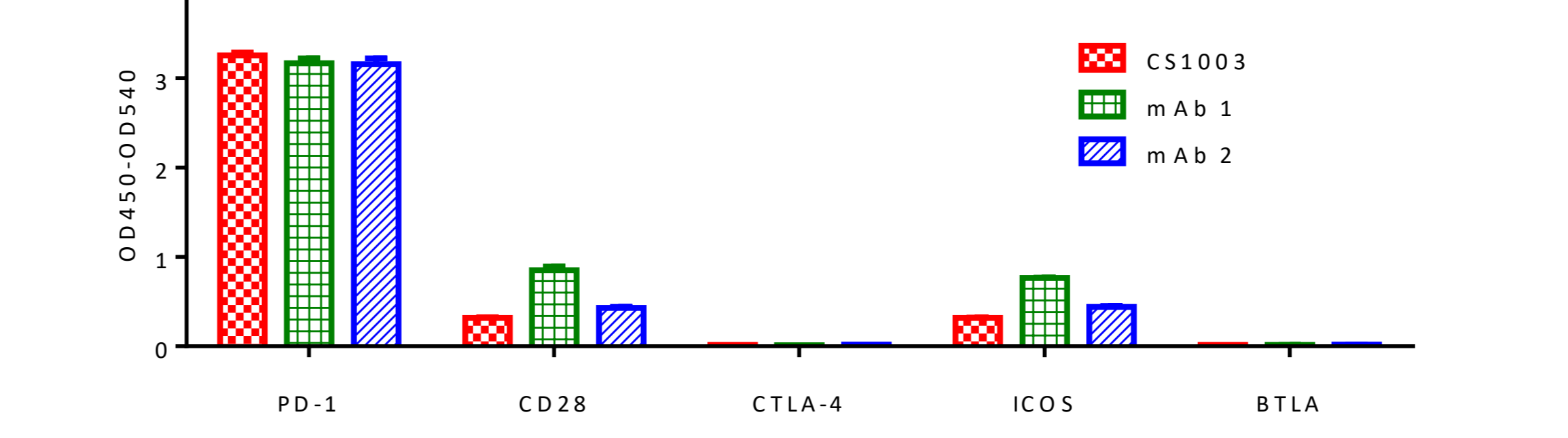


Figure 3: Binding of 66.7 nM of CS1003, reference mAb 1 and mAb 2 to plate coated hPD-1.mFc, hCD28.ECD.mFc, hCTLA-4.ECD.His, hICOS.ECD.mFc or hBTLA.ECD.His were assessed by ELISA. Assay background was determined using no antibody wells.

### ADCC AND CDC Activity of CS1003 on Activated T Cells

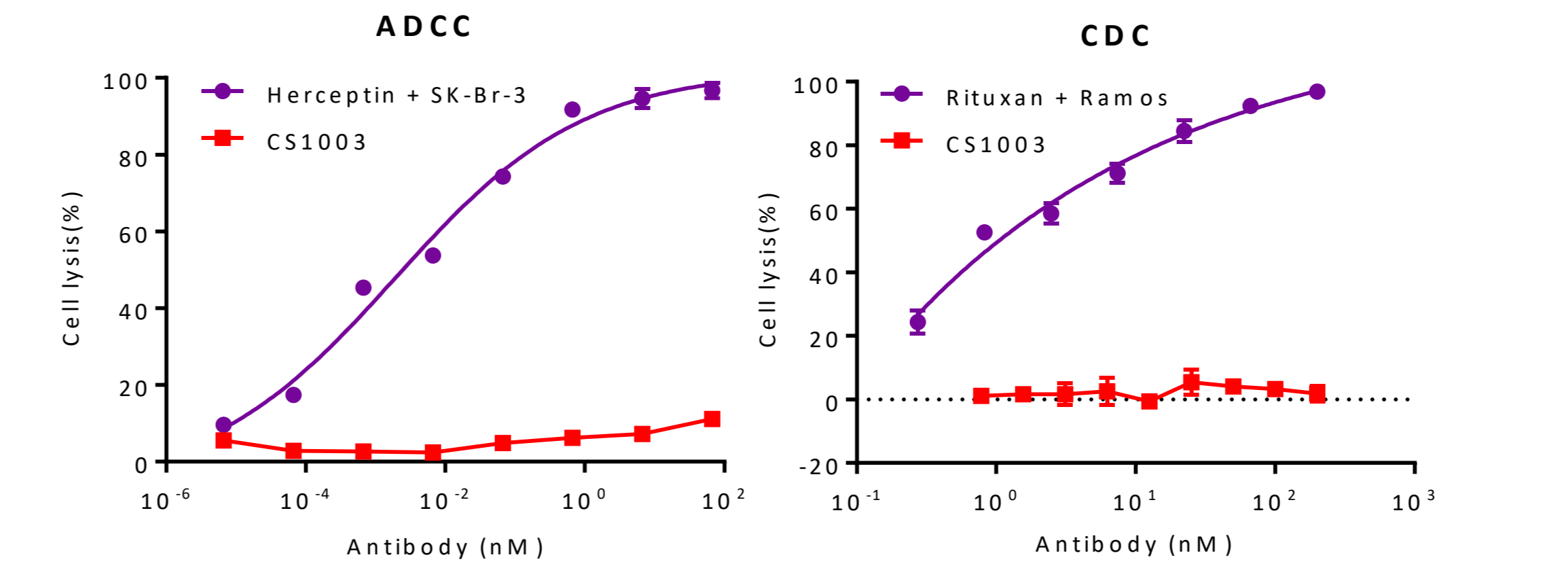


Figure 4: ADCC and CDC activities of CS1003 were evaluated. Herceptin induced SK-BR-3 cell lysis and Rituximab-induced Ramos cell lysis were used as positive control of ADCC and CDC respectively.

### CS1003 IS EFFECTIVE IN INHIBITION TUMOR GROWTH

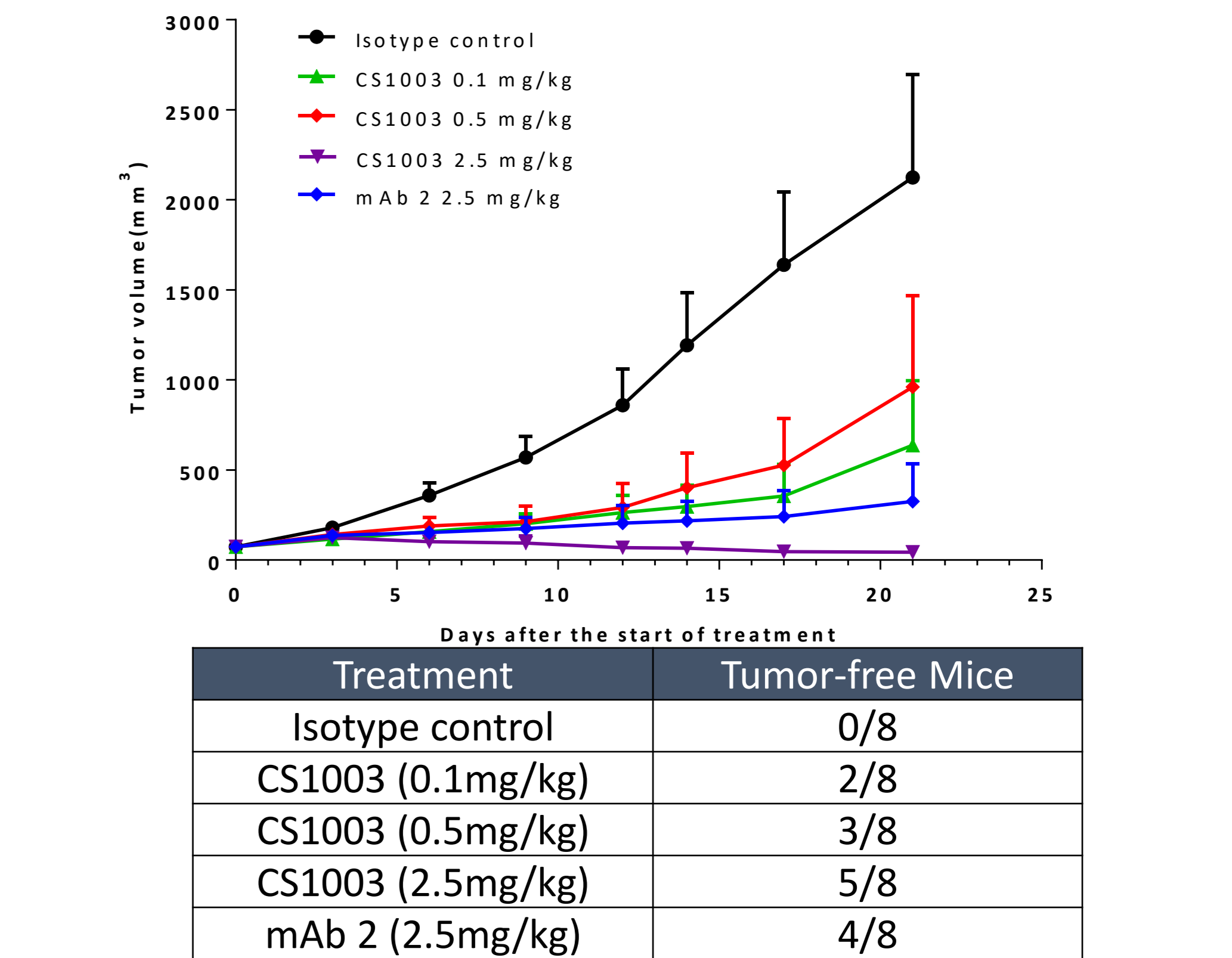


Figure 5: The efficacy of CS1003 was evaluated in established MC38-huPD-L1 colon cancer model in hPD-1 knock-in mice. All antibodies were administered IP once every three days (Q3D) for a total of 5 doses starting at 74 mm<sup>3</sup> tumor volume.

### PHARMACOKINETIC ANALYSIS AND RECEPTOR OCCUPANCY OF CS1003 IN CYNOMOLGUS MONKEYS

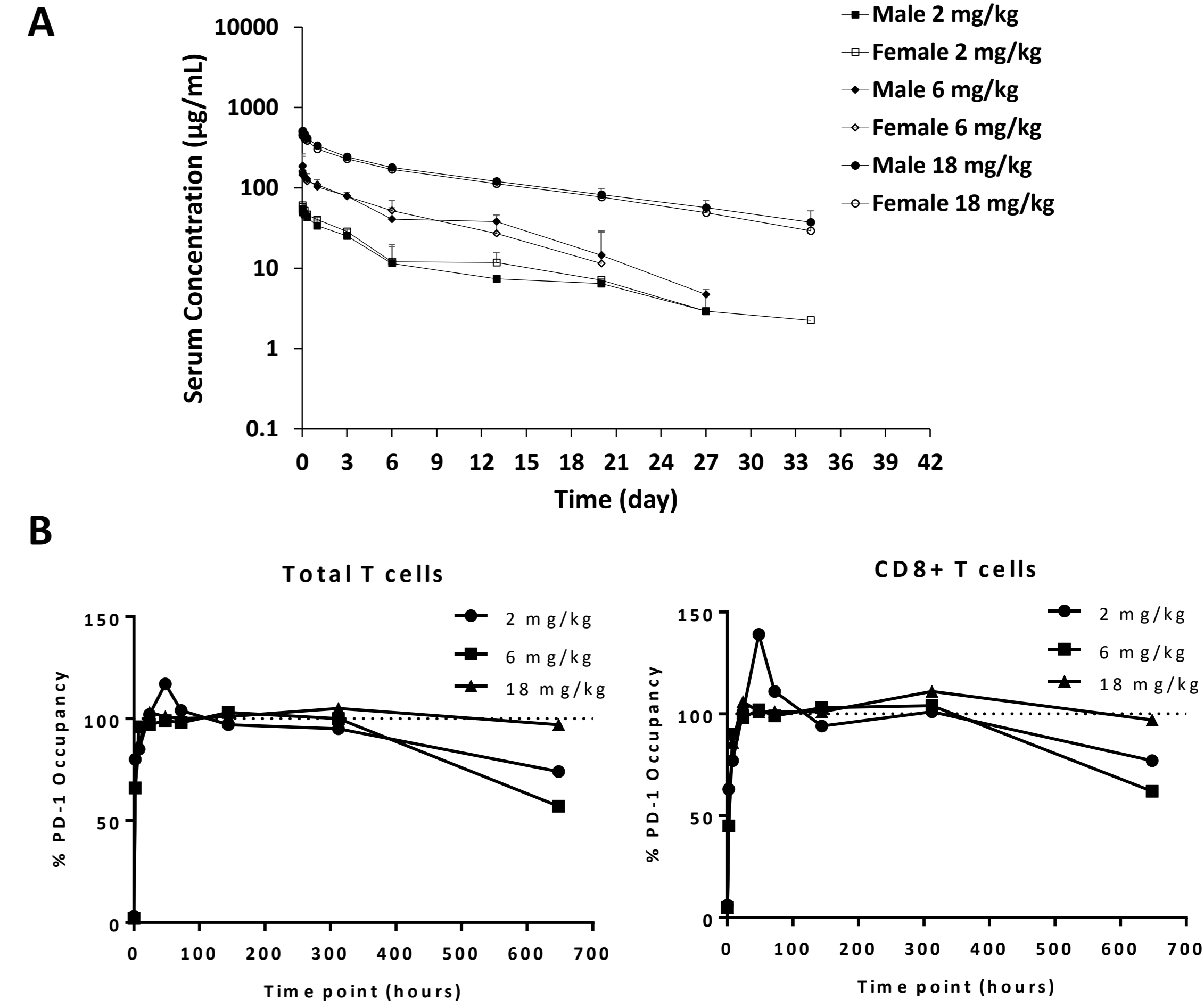


Figure 6: Naïve male and female cynomolgus monkeys (3 per gender for a total of 6 per dose group) were administered with the indicated concentration of CS1003 (A). (B) Percent occupied PD-1 receptor on total T cells and CD8+ cells were determined by flow cytometry.

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